

RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/695,812  
Filing Date 10/24/2000  
Inventorship Hunt et al.  
Applicant Microsoft Corporation  
Attorney's Docket No. MS1-547US  
Title: System and Method for Distributed Management of Shared Computers

**TRANSMITTAL LETTER AND CERTIFICATE OF MAILING**

To: The Commissioner of Patents & Trademarks  
Washington, D.C. 20231

From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
421 W. Riverside Avenue, Suite 500  
Spokane, WA 99201

RECEIVED  
MAY 24 2001  
Technology Center 2100

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Return Post Card
3. Request for Correction of Filing Receipt w/attachments

Large Entity Status ☒ Small Entity Status ☐

*Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.*

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17

Date: 2/13/2001

By:

  
Allan T. Sponseller  
Reg. No. 38,318

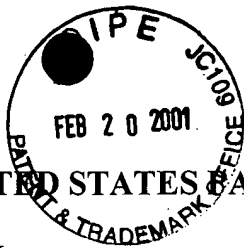
**CERTIFICATE OF MAILING**

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to The Commissioner of Patents & Trademarks, Washington, D.C. 20231, on the below-indicated date

Date: 2/13/2001

By:

  
Cheryl K. Boies



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. ....09/695,812  
Filing Date .....10/24/2000  
Inventor.....Hunt et al.  
Applicant ..... Microsoft Corporation  
Attorney's Docket No. .... MS1-547US  
Title: System and Method for Distributed Management of Shared Computers

**REQUEST FOR CORRECTION OF FILING RECEIPT**

To: Application Processing Division – Customer Correction Branch  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
421 W. Riverside Avenue, Suite 500  
Spokane, WA 99201

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There is an error in the Filing Receipt. The residence is entered incorrectly for the first and fourth listed inventors. The correct residence for Galen C. Hunt is **Bellevue, WA**. The correct residence for David S. Stutz is **Redmond, WA**. A copy of the Declaration is enclosed for verification of the correct information.

A copy of the filing receipt with the correction noted is also enclosed. Applicant respectfully requests correction and issuance of a corrected filing receipt.

Respectfully submitted,

Dated: 2/13/2001

By: \_\_\_\_\_

Allan T. Sponseller  
Reg. No. 38,318  
(509) 324-9256



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/695,812	10/24/2000	2161	2488	MS1-547US	7	76	11

## FILING RECEIPT



\*OC000000005745552\*

Allan T Sponseller  
Lee & Hayes P L L C  
421 W Riverside Avenue Suite 500  
Spokane, WA 99201

Date Mailed: 02/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Galen C. Hunt, Bellevue, MA ; **WA**  
Aamer Hydrie, Kirkland, WA ;  
Steven P. Levi, Redmond, WA ;  
David S. Stutz, Redmond, MA ; **WA**  
Bassam Tabbara, Seattle, WA ;  
Robert V. Welland, Seattle, WA ;

RECEIVED  
MAY 24 2001  
Technology Center 2100

### Continuing Data as Claimed by Applicant

### Foreign Applications

If Required, Foreign Filing License Granted 12/26/2000

### Title

System and method for distributed management of shared computers

### Preliminary Class

705

Data entry by : RORIE, DEANNA

Team : OIPE

Date: 02/08/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

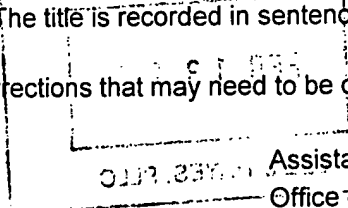
**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

  
 Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231

FILE COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 4273

<b>SERIAL NUMBER</b> 09/695,812	<b>FILING DATE</b> 10/24/2000 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2161	<b>ATTORNEY DOCKET NO.</b> MS1-547US
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**APPLICANTS**  
 Galen C. Hunt, Bellevue, WA;  
 Aamer Hydrie, Kirkland, WA;  
 Steven P. Levi, Redmond, WA;  
 David S. Stutz, Redmond, WA;  
 Bassam Tabbara, Seattle, WA;  
 Robert V. Welland, Seattle, WA;

**\*\* CONTINUING DATA \*\*\*\*\***

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

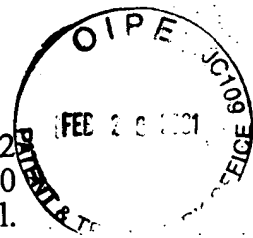
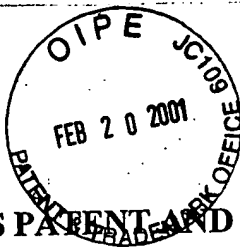
**IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* 12/26/2000**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> WA	<b>SHEETS-DRAWING</b> 7	<b>TOTAL CLAIMS</b> 76	<b>INDEPENDENT CLAIMS</b> 11
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**  
 Allan T Sponseller  
 Lee & Hayes P L L C  
 421 W Riverside Avenue Suite 500  
 Spokane ,WA 99201

**TITLE**  
 System and method for distributed management of shared computers

<b>FILING FEE RECEIVED</b> 2488	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit _____



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. ....09/695,812  
Filing Date .....10/24/00  
Inventorship ..... Hunt et al.  
Applicant ..... Microsoft Corporation  
Attorney's Docket No. .... MSI-547US  
Title: System and Method for Distributed Management of Shared Computers

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled "System and Method for Distributed Management of Shared Computers," referenced above.

I have reviewed and understand the content of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

**PRIOR FOREIGN APPLICATIONS:** no applications for foreign patents or inventor's certificates have been filed prior to the date of execution of this declaration.

**Power of Attorney**

I appoint the following attorneys to prosecute this application and transact all future business in the Patent and Trademark Office connected with this application:

1 Lewis C. Lee, Reg. No. 34,656; Daniel L. Hayes, Reg. No. 34,618; Allan T.  
2 Sponseller, Reg. 38,318; Steven R. Sponseller, Reg. No. 39,384; James R.  
3 Banowsky, Reg. No. 37,773; Lance R. Sadler, Reg. No. 38,605; Michael A. Proksch,  
4 Reg. No. 43,021; Thomas A. Jolly, Reg. No. 39,241; David A. Morasch, Reg. No.  
5 42,905; Kasey C. Christie, Reg. No. 40,559; Nathan R. Rieth, Reg. No. 44,302;  
6 Brian G. Hart, Reg. No. 44,421; Katie E. Sako, Reg. No. 32,628 and Daniel D.  
7 Crouse, Reg. No. 32,022.

8 Send correspondence to: LEE & HAYES, PLLC, 421 W. Riverside Avenue,  
9 Suite 500, Spokane, Washington, 99201. Direct telephone calls to: Allan T.  
10 Sponseller (509) 324-9256.

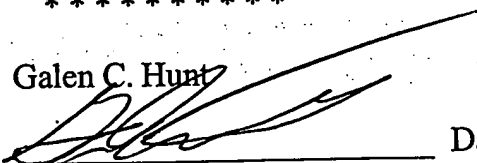
11  
12 All statements made herein of my own knowledge are true and that all  
13 statements made on information and belief are believed to be true; and further that  
14 these statements were made with the knowledge that willful false statements and the  
15 like so made are punishable by fine or imprisonment, or both, under Section 1001 of  
16 Title 18 of the United States Code and that such willful false statement may  
17 jeopardize the validity of the application or any patent issued therefrom.

18  
19 \* \* \* \* \*

20 Full name of inventor:

Galen C. Hunt

21 Inventor's Signature



Date: 27/Nov/2000

22 Residence:

Bellevue, WA

23 Citizenship:

USA

24 Post Office Address:

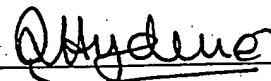
2967 162nd Avenue SE  
Bellevue, WA 98008

\*\*\*\*\*

Full name of inventor:

Aamer Hydrie

Inventor's Signature



Date: 11/27/00

Residence:

Kirkland, WA

Citizenship:

India

Post Office Address:

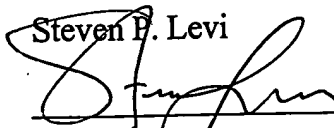
12511 NE 107th Place  
Kirkland, WA 98033

\*\*\*\*\*

Full name of inventor:

Steven P. Levi

Inventor's Signature



Date: 12/11/2000

Residence:

Redmond, WA

Citizenship:

USA

Post Office Address:

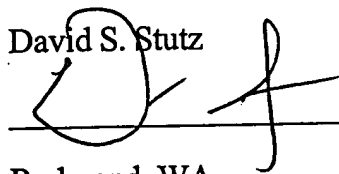
16612 NE 41st Street  
Redmond, WA 98052

\*\*\*\*\*

Full name of inventor:

David S. Stutz

Inventor's Signature



Date: 12/20/2000

Residence:

Redmond, WA

Citizenship:

US

Post Office Address:

19610 NE 116th  
Redmond, WA 98053

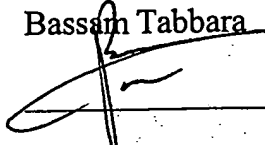


\*\*\*\*\*

Full name of inventor:

Bassam Tabbara

Inventor's Signature



Date: 6/28/00

Residence:

Seattle, WA

Citizenship:

Lebanon

Post Office Address:

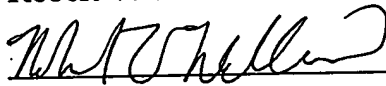
4119 Baker Ave. NW  
Seattle, WA 98107

\*\*\*\*\*

Full name of inventor:

Robert V. Welland

Inventor's Signature



Date: 12/11/00

Residence:

Seattle, WA

Citizenship:

US

Post Office Address:

1100 38th Avenue  
Seattle, WA 98122